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## 1 Parallelism and Communication

### Parallelism and Communication

In general, hardware and software systems are parallel and may communicate among them. We want to define the system

$$T_1 || T_2 \cdots || T_n$$

Parallelism can be modelled in several ways:

- Interleaving processes (asynchronous).
- Communication by shared variables
- Synchronous product
- *Handshaking* (actions allow to synchronise processes)
- Message passing - communication by channels

### Concurrence and Interleaving

- nondeterministic alternation of actions of each component, Let  $P$  and  $Q$  be two components

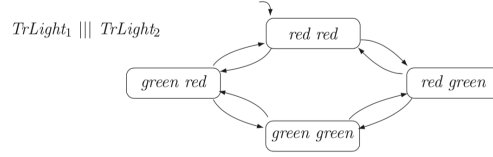
$$PPQQPQQPPPPQQP \dots$$

- one processor executes several processes that do not interact
- there is a scheduler with a given strategy
- interleaving must be *fair*

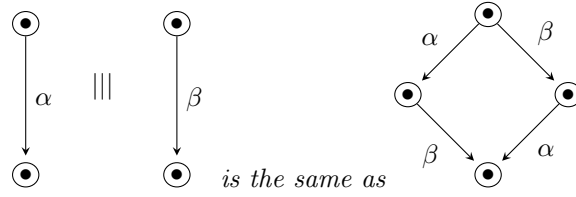
### Traffic lights in parallel streets



### Transition System $TL_1 ||| TL_2$

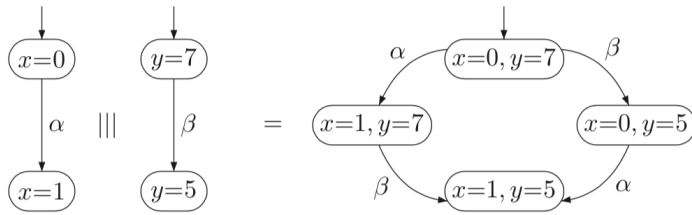


- $|||$  interleaving operator
- $;$  sequential execution
- $+$  nondeterministic choice  $Effect(\alpha ||| \beta, \eta) = Effect((\alpha; \beta) + (\beta; \alpha), \eta)$



### Example

Let  $\alpha$  be  $x \leftarrow x + 1$ ,  $\beta$  be  $y \leftarrow y - 2$  and  $\eta = \langle x = 0, y = 7 \rangle$  then the diagram of  $\alpha ||| \beta$  is



*Note:* This works because there is no shared variables. Otherwise the order matters and one need to use program graphs, e.g.  $x \leftarrow x + 1 \parallel x \leftarrow 2x$ .

### Interleaving of Transition Systems

$$T_i = (S_i, Act_i, \longrightarrow_i, I_i, AP_i, L_i), i = 1, 2$$

$$T_1 \parallel T_2 = (S_1 \times S_2, Act_1 \cup Act_2, \longrightarrow, I_1 \times I_2, AP_1 \cup AP_2, L)$$

where the transition relations is defined by

$$\frac{s_1 \xrightarrow{\alpha}_1 s'_1}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s'_1, s_2 \rangle} \quad \frac{s_2 \xrightarrow{\alpha}_2 s'_2}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s_1, s'_2 \rangle}$$

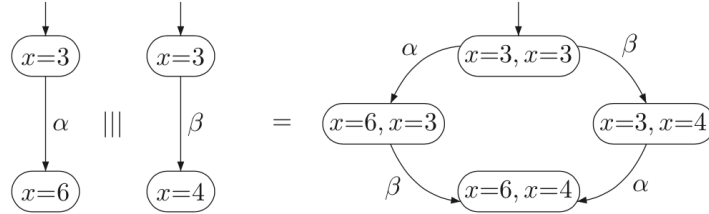
and  $L(\langle s_1, s_2 \rangle) = L(s_1) \cup L(s_2)$ .

For  $PG_i$  with  $Var_1 \cap Var_2 = \emptyset$ ,  $T(PG_1) \parallel T(PG_2)$  is the transition system for simultaneous execution.

*Note:* corresponds to a cartesian product.

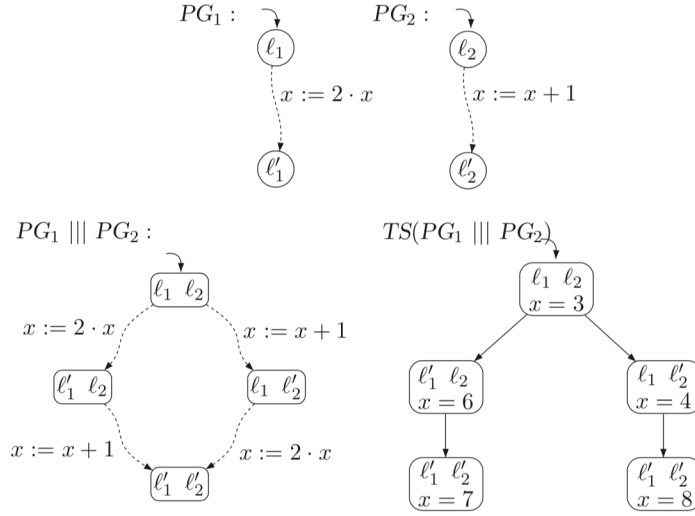
### Communication with Shared Variables

For  $x \leftarrow x + 1 \parallel x \leftarrow 2x$  and  $x = 3$



*3 states are inconsistent! Solution:* interleaving the program graphs and not their transition systems ( $PG_1 \parallel PG_2$ ).

**Example:** let  $\langle x = 3 \rangle$



### Critical Actions

- Actions that act on shared variables are called *critical*.
- Processes internal actions can use nondeterministic choices
- Critical actions cannot be execute in parallel, and there must exist some scheduler strategy.

### Atomicity

- $\alpha \in Act$  represented in a transitions system must be indivisible.
- E.g. if  $\alpha$  is a below it cannot be divisible (i.e corresponds only to one transition)

If the following is a  $\alpha$  action it cannot be splitetd by a scheduler.

```

 $x \leftarrow x + 1;$ 
 $y \leftarrow 2x + 1;$ 
if  $x \leq 12$  then
   $z \leftarrow (x - z)^2 \times y$ 

```

$$\begin{aligned}
Effect(\alpha, \eta)(x) &= \eta(x) + 1 \\
Effect(\alpha, \eta)(y) &= 2(\eta(x) + 1) + 1 \\
Effect(\alpha, \eta)(z) &= \begin{cases} (\eta(x) - \eta(z))^2 \times \eta(y), & \text{se } \eta(x) \leq 12 \\ \eta(z), & \text{caso contrário} \end{cases}
\end{aligned}$$

## Mutual Exclusion

- Whenever concurrent processes share a resource it may be necessary to ensure that they do not have access to it at the same time. That is called the *critical section*
- Examples:
  - shared variables: simultaneous update cannot occur
  - access to devices: e.g. a printer
- Problem: find a protocol for determining which process is allowed to enter its critical section
- Expected properties:
  - *Safety*: Only one process is in its critical section at any time
  - *Liveness*: Whenever any process requests to enter in its critical section will eventually be permitted to enter
  - *Non-blocking*: A process can always request to enter its critical section

## Mutual Exclusion with Semaphores

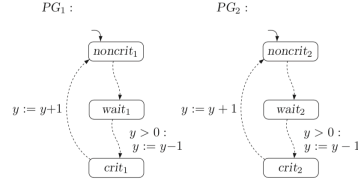
Two processes  $P_1$  and  $P_2$  want to access a critical section.  $P_i$ :

```

while True do
  non critical actions
  await  $y > 0$  do  $y \leftarrow y - 1$  od
  critical actions
   $y \leftarrow y + 1$ 

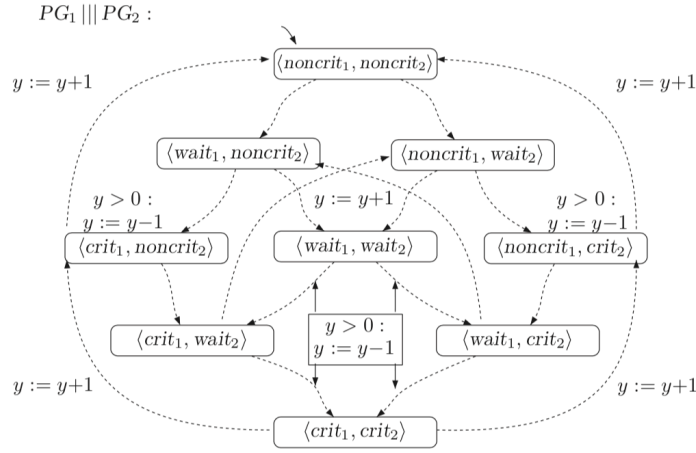
   $P_i$  loop forever
     $\vdots$  (* noncritical actions *)
    request
    critical section
    release
     $\vdots$  (* noncritical actions *)
  end loop

```



Shared variable  $y$  is a binary semaphore: if  $y = 0$  one of the processes is in the critical zone; if  $y = 1$  the critical zone is free.

$PG_1 ||| PG_2$



*Forbidden Location  $\langle crit_1, crit_2 \rangle$*

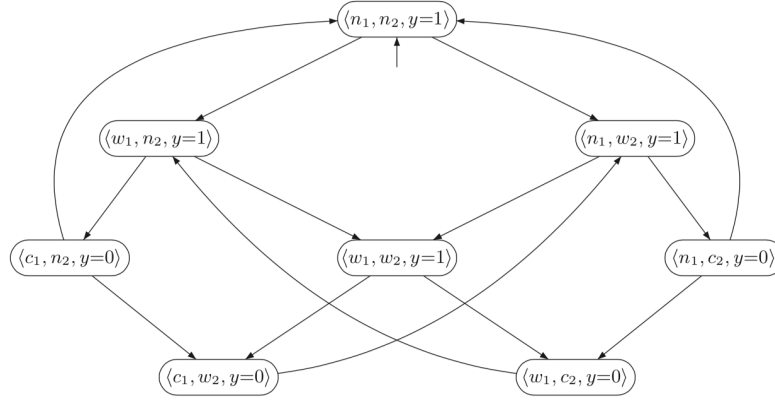
$T(PG_1 ||| PG_2)$

Initially  $y = 1$ . From 18 states, only 8 states are reachable:

$$\begin{array}{ll}
 \langle noncrit_1, noncrit_2, y = 1 \rangle & \langle noncrit_1, wait_2, y = 1 \rangle \\
 \langle wait_1, noncrit_2, y = 1 \rangle & \langle wait_1, wait_2, y = 1 \rangle \\
 \langle noncrit_1, crit_2, y = 0 \rangle & \langle crit_1, noncrit_2, y = 0 \rangle \\
 \langle wait_1, crit_2, y = 0 \rangle & \langle crit_1, wait_2, y = 0 \rangle
 \end{array}$$

Many states are not reachable, including  $\langle crit_1, crit_2, y = \dots \rangle$  thus it satisfies the *mutual exclusion* property.

$$T(PG_1 || PG_2)$$



How to decide how to leave the state  $\langle wait_1, wait_2, y = 1 \rangle$ ?

### Synchronous Product

$$T_i = (S_i, Act_i, \longrightarrow_i, I_i, AP_i, L_i) \text{ for } i = 1, 2$$

$$\begin{aligned} * : Act_1 \times Act_2 &\rightarrow Act \\ (\alpha, \beta) &\mapsto \alpha * \beta \end{aligned}$$

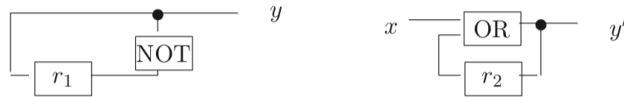
$$T_1 \otimes T_2 = (S_1 \times S_2, Act, \longrightarrow, I_1 \times I_2, AP_1 \cup AP_2, L)$$

where the transition functions is defined by

$$\frac{s_1 \xrightarrow{\alpha}_1 s'_1 \wedge s_2 \xrightarrow{\beta}_2 s'_2}{\langle s_1, s_2 \rangle \xrightarrow{\alpha * \beta} \langle s'_1, s'_2 \rangle}$$

$$\text{and } L(\langle s_1, s_2 \rangle) = L(s_1) \cup L(s_2).$$

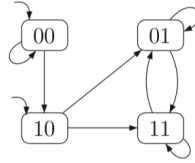
### Synchronous Product of Circuits



$TS_1 :$



$TS_2 :$

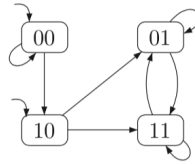


### Synchronous Product of Circuits

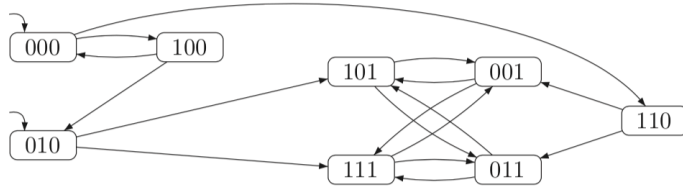
$TS_1 :$



$TS_2 :$

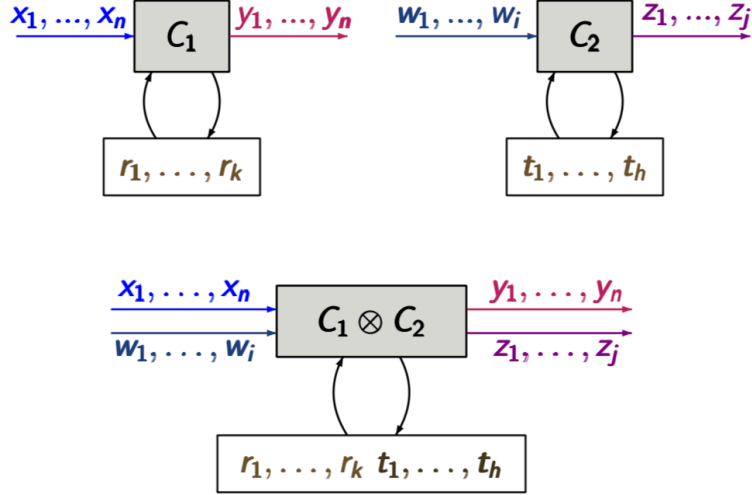


$TS_1 \otimes TS_2 :$



### Synchronous Product of Circuits(general)



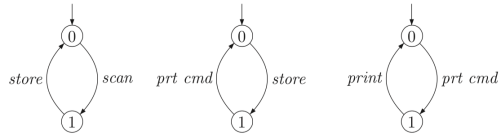


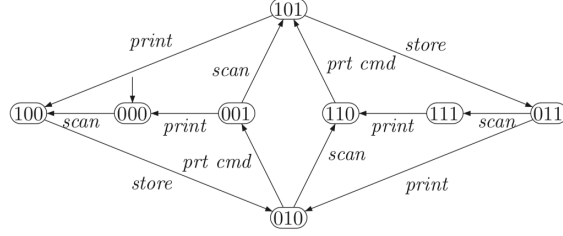
### Handshaking: Synchronous Message Passing

- concurrent processes interact in a synchronous fashion
- both processes share a set of actions  $H \subseteq Act_i$  (*handshake*) and has to execute the same action  $\alpha \in H$  simultaneously
- other actions may be executed in a interleaved fashion
- corresponds also to a channel of size 0 (synchronous message passing)

### Booking System- at a supermarket cashier

- Bar code reader (product code) (BCR)
- Booking program (price) (BP)
- receipt printer (Printer)
- $BCR || BP || Printer$





### Handshaking-Synchronous Message Passing

$T_i = (S_i, Act_i, \longrightarrow_i, I_i, AP_i, L_i)$  for  $i = 1, 2$  e  $H \subseteq Act_1 \cap Act_2$  and  $\tau \notin H$ .

$$T_1 ||_H T_2 = (S_1 \times S_2, Act_1 \cup Act_2, \longrightarrow, I_1 \times I_2, AP_1 \cup AP_2, L)$$

where

- $L(\langle s_1, s_2 \rangle) = L(s_1) \cup L(s_2)$

- Se  $\alpha \notin H$ ,

$$\frac{s_1 \xrightarrow{\alpha} {}_1 s'_1}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s'_1, s_2 \rangle} \quad \frac{s_2 \xrightarrow{\alpha} {}_2 s'_2}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s_1, s'_2 \rangle}$$

- se  $\alpha \in H$

$$\frac{s_1 \xrightarrow{\alpha} {}_1 s'_1 \wedge s_2 \xrightarrow{\alpha} {}_2 s'_2}{\langle s_1, s_2 \rangle \xrightarrow{\alpha} \langle s'_1, s'_2 \rangle}$$

### Properties of $||_H$

- if  $H = Act_1 \cap Act_2$ ,  $T_1 || T_2$
- $T_1 ||_{\emptyset} T_2 = T_1 || T_2$
- $T_1 ||_H T_2 = T_2 ||_H T_1$  (commutative)
- in general  $T_1 ||_H (T_2 ||_{H'} T_3) \neq (T_1 ||_H T_2) ||_{H'} T_3$  (is not associative)
- If  $H = H'$  it is associative

$$T = T_1 ||_H T_2 ||_H T_3 \cdots ||_H T_n \text{ with } H \subseteq Act_1 \cap \cdots \cap Act_n$$

- models *broadcasting* where one process communicates with several processes at the same time
- $||_H$  generalizes to  $T_1 || T_2 \cdots || T_n$ , with  $H_{i,j} = Act_i \cap Act_j$  and  $H_{i,j} \cap Act_k = \emptyset$  for  $k \notin \{i, j\}$ .

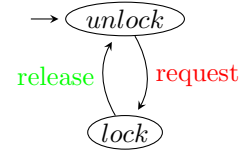
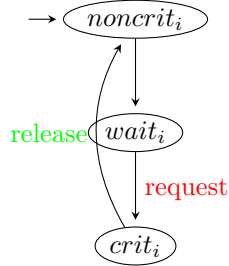
## Mutual Exclusion with Arbiter

Process  $P_i$

```

while True do
  noncritical actions
  request
  critical actions
  release

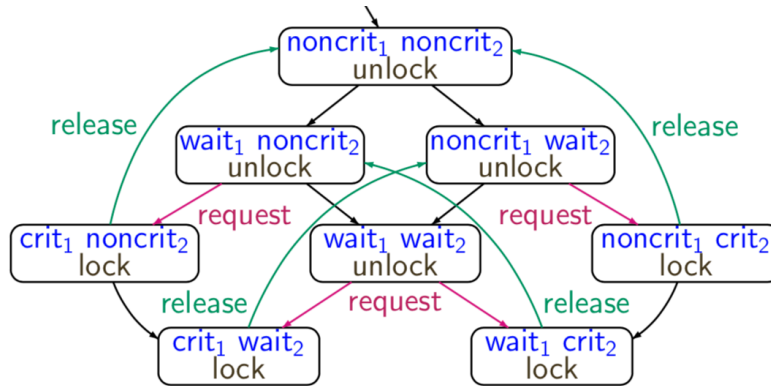
```



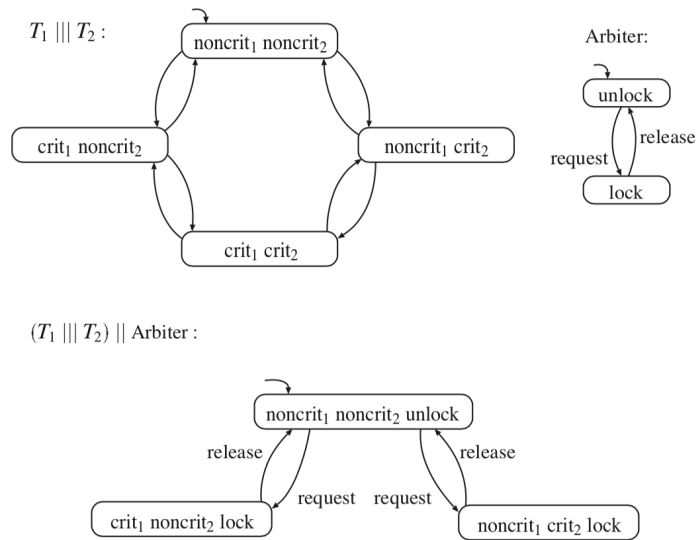
Process *Arbiter* selects  $P_1$  or  $P_2$  nondeterministically

$$(T_1 ||| T_2) ||_{Syn} Arbiter$$

where  $Syn = \{\text{request}, \text{release}\}$

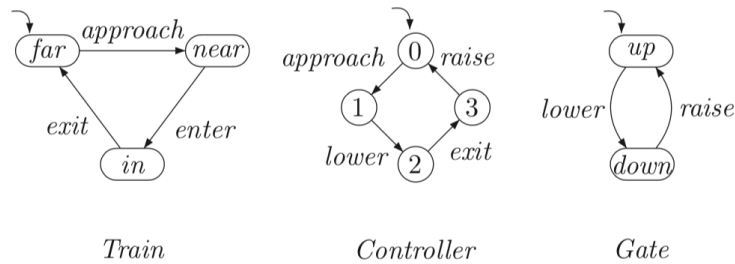


Mutual Exclusion with Arbiter (simplified without *wait*)

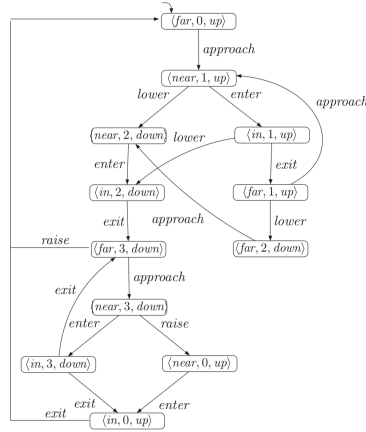


## Railroad Crossing

- when a train approaches sends a signal for the gate to close
- the gate opens after the train sends an exit signal
- we want that the gates are closed when the train is passing
- $\text{Train} \parallel \text{Gate} \parallel \text{Controller}$



Is the railroad crossing safe?



No, we need to have real time restrictions.

See more:[BKL08, Chap. 2.2.1-2.2.3,2.2.6]

## References

- [BKL08] Christel Baier, Joost-Pieter Katoen, and Kim Guldstrand Larsen.  
*Principles of Model Checking*. MIT Press, 2008.